🖎 AO 440 (Rev. 8/01) Summons in a Civil Action

JUDGE HOLWELUNITED STATES DISTRICT COURT

Southern District of New York

Prudential Retirement Insurance and Annuity Company,

SUMMONS IN A CIVIL ACTION

V.

State Street Bank and Trust Company, and State Street Global Advisors, Inc.

CASE NUMBER: 07 CV **07 CIV 8488**

TO: (Name and address of Defendant)
State Street Bank and Trust Company, and
State Street Global Advisors, Inc.

SEE RIDER

YOU ARE HEREBY SUMMONED and required to serve on PLAINTIFF'S ATTORNEY (name and address)

Edwin G. Schallert, Esq. Debevoise & Plimpton LLP 919 Third Avenue New York, NY 10022

(212) 909-6000

an answer to the complaint which is served on you with this summons, within _______ 20 _____ days after service of this summons on you, exclusive of the day of service. If you fail to do so, judgment by default will be taken against you for the relief demanded in the complaint. Any answer that you serve on the parties to this action must be filed with the Clerk of this Court within a reasonable period of time after service.

J. MICHAEL McMAHON

OCT 0 1 2007

CLERK

DATE

(By) DEPUTY CLERI

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Defendants' Addresses

State Street Bank and Trust Company 225 Franklin Street Boston, Massachusetts 02110

State Street Global Advisors, Inc. State Street Financial Center One Lincoln Street Boston, Massachusetts 02111 Edwin G. Schallert, Esq. DEBEVOISE & PLIMPTON LLP 919 Third Avenue New York, New York 10022 (212) 909-6000 Attorneys for Plaintiff

UNITED STATES DISTRICT COURT SOUTHERN DISTRICT OF NEW YORK	v	FILED ELECTRONICALLY
PRUDENTIAL RETIREMENT INSURANCE AND ANNUITY COMPANY, Plaintiff,	x : :	07 Civ. 8488 (RJH)
-against-	:	AFFIDAVIT OF PERSONAL SERVICE
STATE STREET BANK AND TRUST	:	
COMPANY and STATE STREET GLOBAL	:	
ADVISORS, INC.,	:	
	:	
Defendants.	:	
COMMONWEALTH OF MASSACHUSETTS	X)	
COMMONWEALTH OF MASSACHOSET IS	SS.:	
COUNTY OF HAMPDEN)	

Charles E. McLaughlin, being duly sworn, deposes and says:

- 1. I am a citizen of the United States, over the age of eighteen years, and am not a party to this action. I am a licensed private investigator retained by The Stonegate Agency, Inc., 27 Whitehall Street, New York, New York 10004.
- 2. On October 2, 2007, I personally served the defendants in this action with copies of the Summons, Complaint, Disclosure Statement, Judge's Rules, and ECF Rules and Guidelines, as follows:
- 3. At 10:25 a.m., I served State Street Bank & Trust Company, by personal delivery to the Registered Agent, CT Corporation, 155 Federal Street, Suite 700, Boston, Massachusetts 02110. Service was accepted by Gail Brown, Fulfillment Specialist.

- 4. Ms. Brown confirmed that it is her responsibility to accept legal service on behalf of CT Corporation. She is a light-skinned female, approximately 48 years of age, wearing eyeglasses.
- 5. At 10:40 a.m., I served State Street Global Advisors, Inc., at State Street Financial Center, One Lincoln Street, Boston, Massachusetts 02111. Service was accepted by Ricky R. Grant, Jr., Security Operations Manager.
- 6. Mr. Grant advised me that it is his responsibility to accept legal papers on behalf of the defendant. He is a dark-skinned male, approximately 45 years of age, wearing eyeglasses.
- 7. At 11:15 a.m., I served State Street Bank and Trust Company at their new office address of 1776 Heritage Drive, Quincy, Massachusetts 02171. Service was accepted by Ms. Maria Depina, front desk security.
- 8. Ms. Depina informed me that it is part of her job responsibility to receive legal service on behalf of State Street Bank and Trust Company. She is a tan-skinned female, approximately 30 years of age.

9. I hereby swear, under penalty of perjury, that the foregoing is true and correct.

Charles E. McLaughlin

Sworn to before me this FOURTH day of October 2007

Notary Public

MARIANNE GARDNER
Notary Public
Commonwealth of Massachusette
My Commission Explice

JURAT CERTIFICATE

On this FOURTH	_ day of	OCTOBER	, 20 <u>07</u>	, before me, the undersigned
notary public, personally	appeared	CHARLES E.)
proved to me through sa			(name of documentification, which wer	
•				
to be the person whose r	name is sig	gned on the pred	ceding or attached of	document and who swore or
affirmed to me that the contents of the document are truthful and accurate to the best of (his) (her)				
knowledge and belief.				
(official signature an	d seal of notary	robra	MARIANNE GA Notary Pu Commonwealth of My My Commissio	iblic assachusetts
My Commission Expires	9/14/1		Suptember 1	4, 2012
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EDWIN G. SCHALLERT, Esq. Debevoise & Plimpton LLP 919 Third Avenue New York, New York 10022 Attorneys for Plaintiff

UNITED STATES DISTRICT COURT SOUTHERN DISTRICT OF NEW YORK

FILED ELECTRONICALLY

PRUDENTIAL RETIREMENT INSURANCE AND ANNUITY:

COMPANY,

Plaintiff,

AFFIDAVIT OF SERVICE

07 CIV 8488 (RJH)

-against-

STATE STREET BANK AND TRUST COMPANY and

STATE STREET GLOBAL ADVISORS, INC.,

Defendants.

STATE OF DELAWARE

) SS.:

COUNTY OF NEW CASTLE)

Mike Riley, being duly sworn, deposes and says that I am not a party to the action, am over eighteen (18) years of age, and I reside in Wilmington, Delaware.

That on October 2, 2007, at 11:40 a.m; at 2711 Centerville Road, Suite 400, Wilmington, Delaware 19808, I personally served the Summons, Complaint, Disclosure Statement, Judge Holwell's Rules and the SDNY ECF Rules and Guidelines upon State Street Global Advisors, Inc. c/o Corporation Service Company and The Prentice-Hall Corporation System, Inc. Service was accepted by Janice Wozwicki of Corporation Service Company and The Prentice-Hall Corporation System, Inc., a person authorized to accept service.

Janice Wozwicki was a light-skinned female, approximately 45 years old, 5'2" 125 lbs.

Mile Riley

Sworn to before me this 3rd day of October, 2007.

> DENNIS SCHOFIELD NOTARY PUBLIC

STATE OF DELAWARE Commission Expires Nov. 24, 2007

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